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This regulatory examination focuses on your role and responsibilities as a representative, and what you need to know about the FAIS Act in order to remain compliant. The content of this examination focuses on the regulatory framework, i.e. FAIS, FICA, Code of Conduct, etc, as prescribed by the FSB. This examination consists of 50 questions.

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FAIS regulatory examinations are in no way unique to South Africa. In addition, the regulatory examinations also test the financial advisors' knowledge and understanding of the Financial Advisory and Intermediary Services Act (FAIS Act) under which they carry out their business. The FAIS Act came into effect on 30 September 2004. The objective

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